

Optimal design using negative refractive index as a new degree of freedom: [JOSA paper](#)

➤ One-dimensional electromagnetic resonator

$$\nabla \times (\mu_0 \mu_r)^{-1} \cdot \nabla \times \mathbf{E} - \omega_0^2 \epsilon_0 \epsilon_r \mathbf{E} = 0$$

$$E_x|_{z=z_0-\delta} = E_x|_{z=z_0+\delta}$$

$$\frac{1}{\mu_1} \frac{\partial E_x}{\partial z} \Big|_{z=z_0-\delta} = \frac{1}{\mu_2} \frac{\partial E_x}{\partial z} \Big|_{z=z_0+\delta}$$

➤ Strong correlations between measurable quantities in *conventional* DBR resonator of cavity length L can be discovered analytically or numerically

- Electromagnetic energy in cavity $W \sim L$
- FWHM in transmission spectrum $\gamma \sim 1/L$
- Characteristic response time $\tau = 1/\gamma \sim L$
- Resonance wavelength shift $\Delta\lambda \sim L$

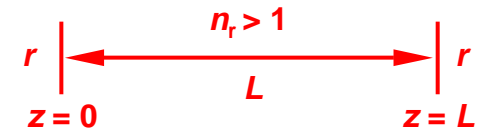
➤ New functionality by seeking degrees of freedom that eliminate correlations

- Negative refractive index $n_r = \sqrt{\epsilon_r} \sqrt{\mu_r}$
 - Find configurations that decouple W , τ , and $\Delta\lambda$

➤ Will show that, compared to any given conventional device design, negative index allows:

- **Up to twice the electromagnetic energy density**
- **Always a faster characteristic response time**

Conventional resonator



$$|\mathbf{E}(\omega)|^2 = \frac{I_{\max}}{1 + \left(\frac{2\mathcal{F}}{\pi}\right)^2 \sin^2\left(\frac{2\pi\omega}{\Delta\omega}\right)}$$

$$\Delta\omega = \frac{2\pi c}{2n_r L} \quad \text{Mode spacing}$$

$$\mathcal{F} = \frac{\pi\sqrt{r}}{1-r} \quad \text{Finesse}$$

$$I_{\max} = \frac{|\mathbf{E}_0|^2}{(1-r)^2} \quad \text{Peak intensity}$$

$$\gamma = \frac{1}{\tau} = \frac{\Delta\omega}{\mathcal{F}} = \frac{\pi c}{n_r L} \frac{1}{\mathcal{F}}$$

$$W \propto \int_0^L |\mathbf{E}_x|^2(z) dz$$

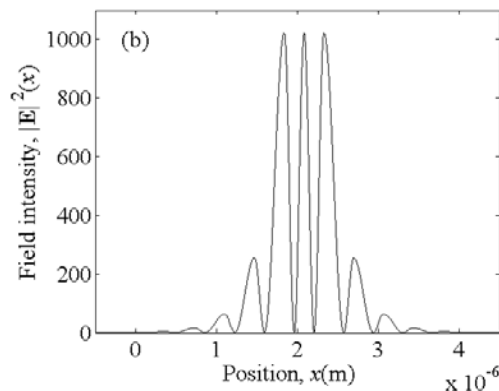
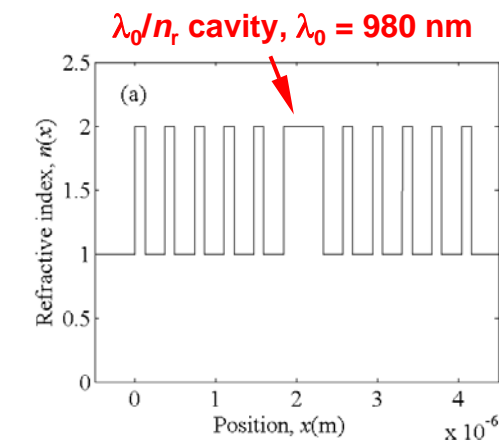
Optimal design using negative refractive index as a new degree of freedom

➤ Negative refractive index to control electromagnetic field intensity

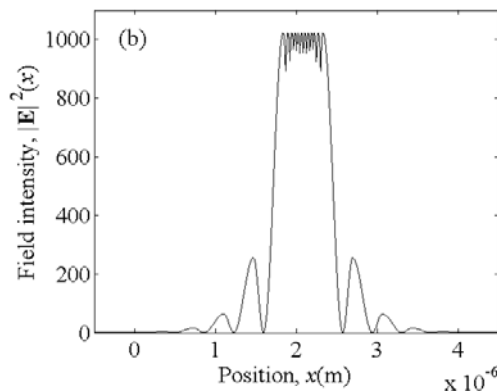
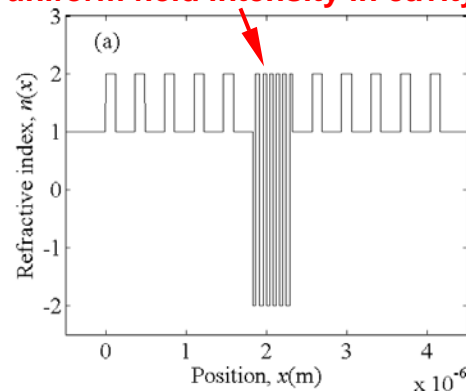
– Maximizing electromagnetic field density in a resonant cavity

- Up to 2x increase in cavity energy density, approaches uniform field distribution!
- **Discovered** using optimal design

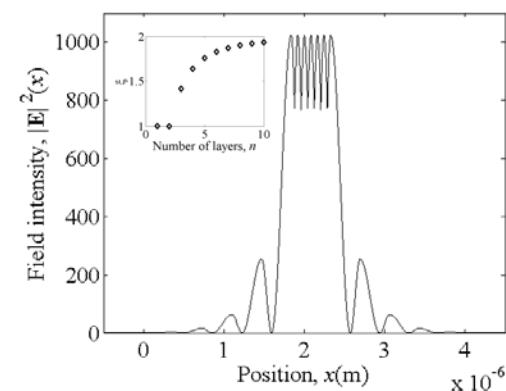
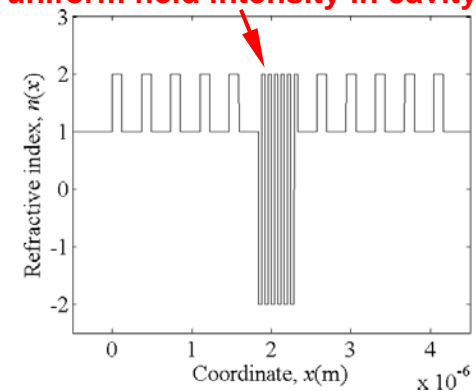
- Ad-hoc studies: single dielectric pair sub-wavelength cavity resonator, N. Engheta, IEEE Ant. Wireless Prop. Lett. 1, 1536 (2002),



6 layer pairs optimized for maximum uniform field intensity in cavity



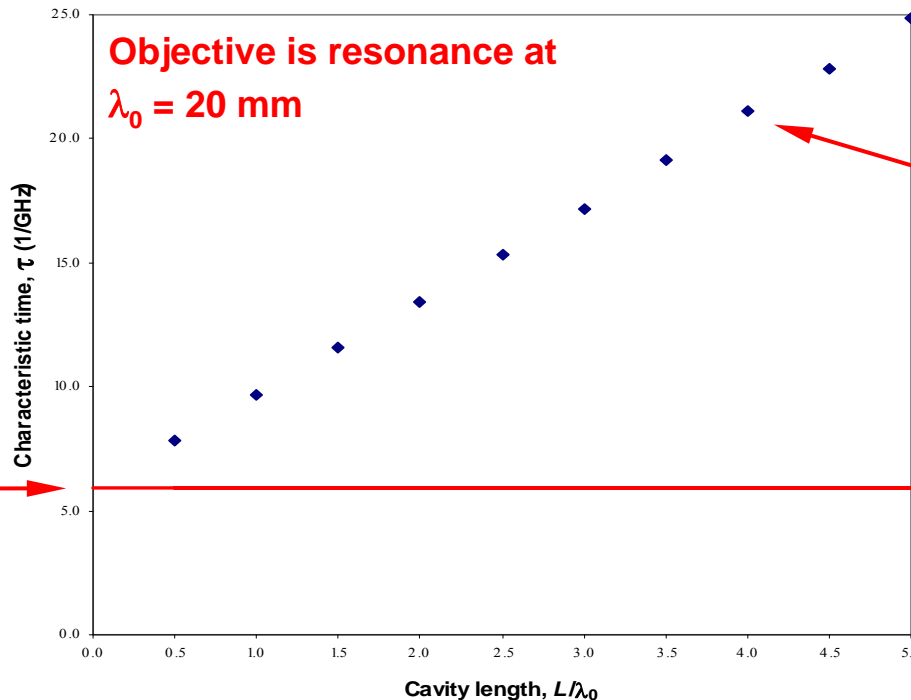
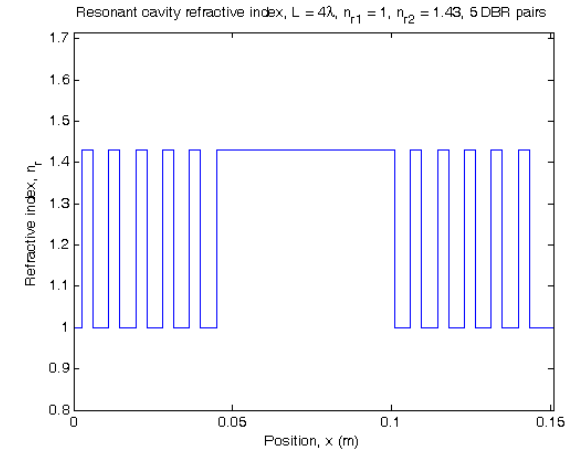
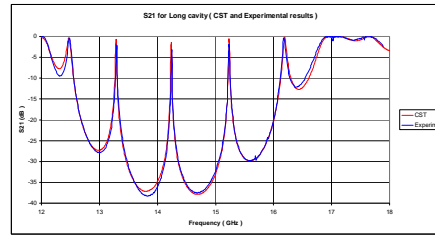
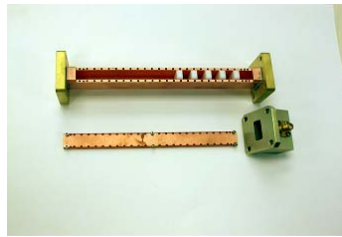
6 layer pairs ad-hoc design for uniform field intensity in cavity



Optimal design using negative refractive index as a new degree of freedom

➤ Example: 15 GHz ($\lambda_0 = 20$ mm) electromagnetic resonator with zero phase accumulation

– Separation of response time τ from cavity length L



Value of τ at intercept $L = 0$ determined only by the reflectivity of the DBR mirrors

Objective is resonance at $\lambda_0 = 20$ mm

Conventional resonator requires *discrete* cavity length of $L = n\lambda_0/2n_r$, where n is a non-zero positive integer

Zero phase accumulation resonator allows cavity to have *any* length L

Optimal design using negative refractive index as a new degree of freedom

- Example: 15 GHz ($\lambda_0 = 20$ mm) electromagnetic resonator
 - Compared to conventional resonator, zero phase accumulation device decouples resonator transmission line width (response time τ) from cavity length L
 - *Independent design variables*

Conventional resonator requires *discrete* cavity length of

$$L = n\lambda_0/2n_r$$

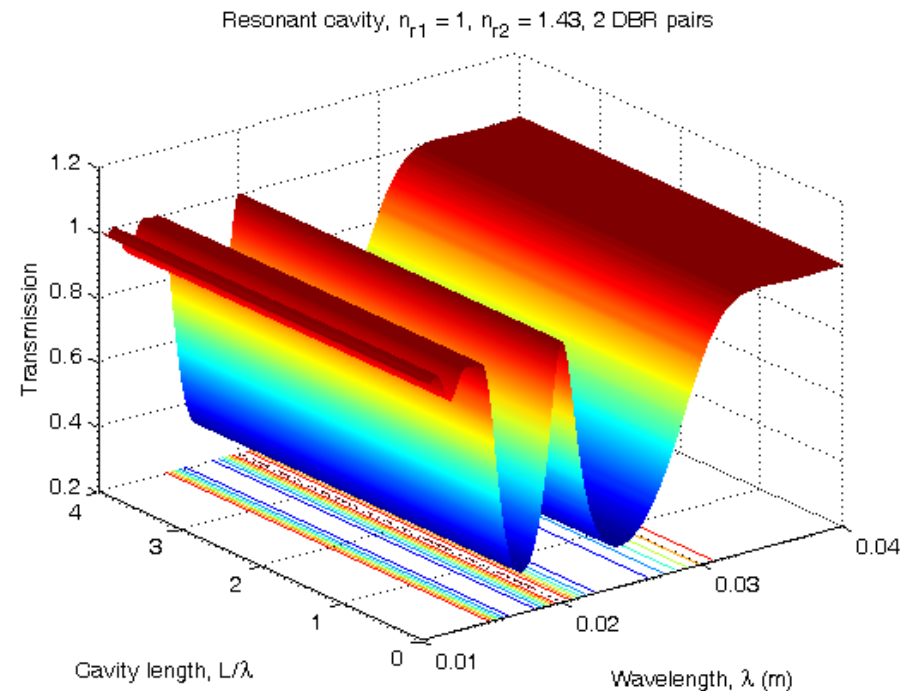
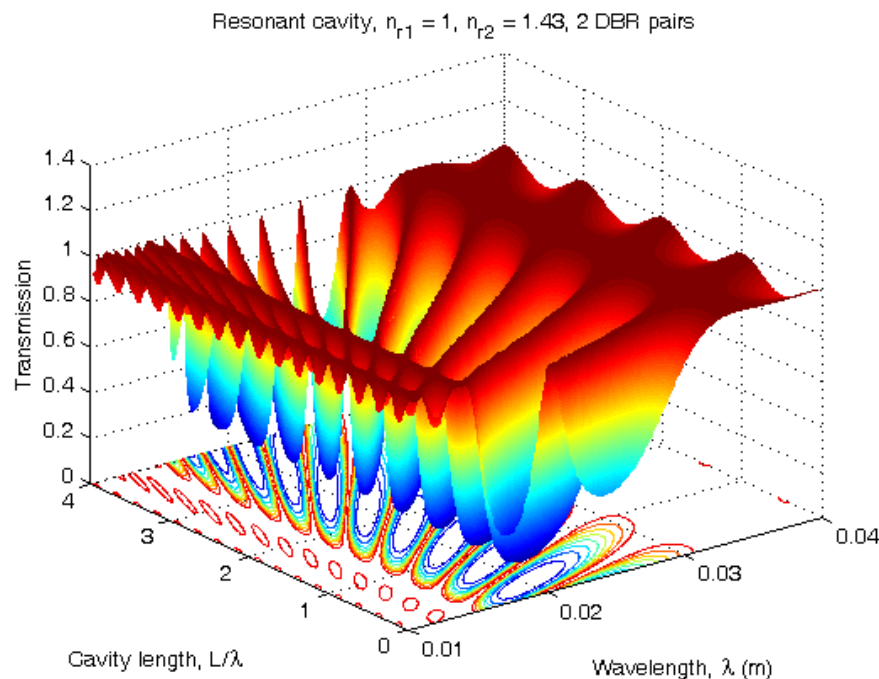
where n is a non-zero positive integer

Transmission (λ, L) solutions complex

Zero phase accumulation resonator allows cavity to have *any* length L

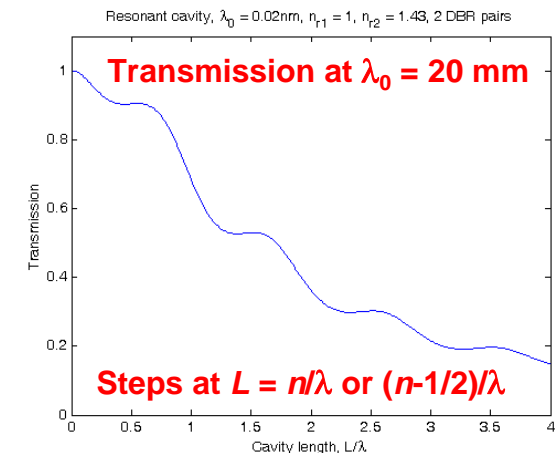
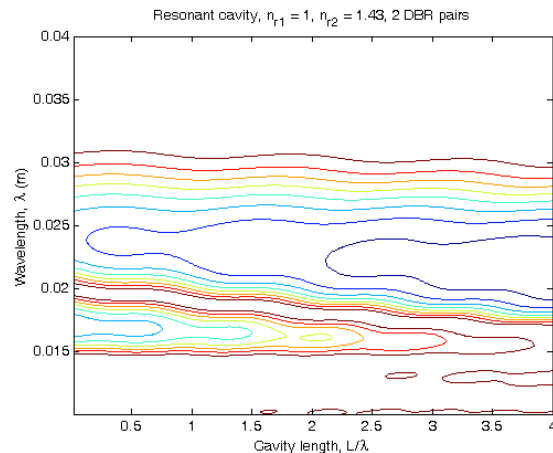
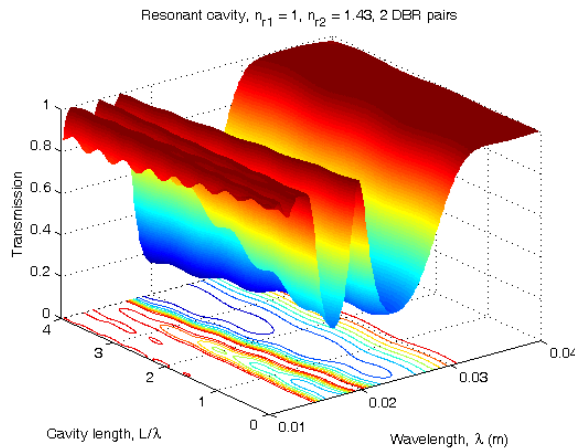
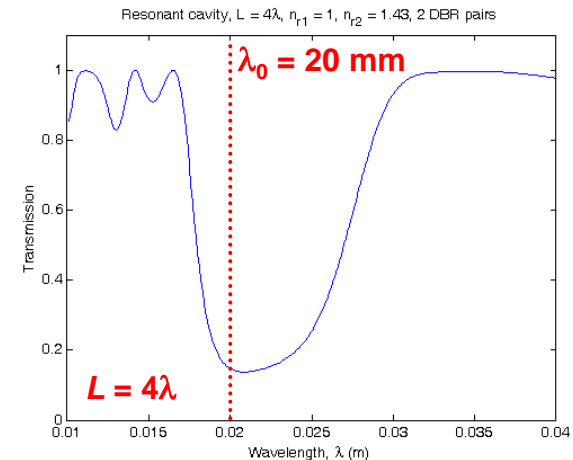
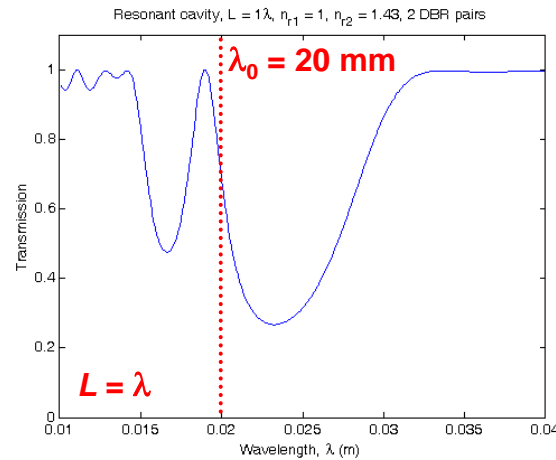
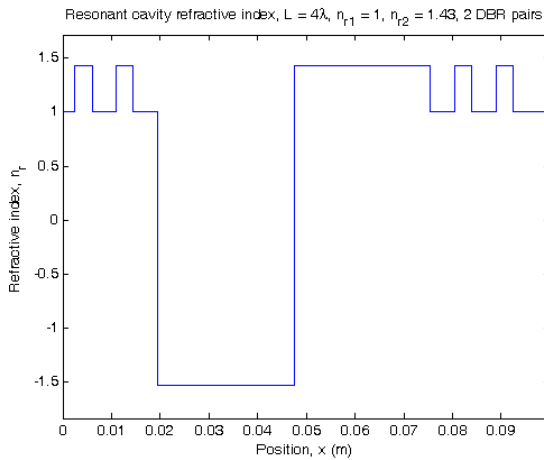
Transmission (λ, L) solutions simplified

Decouple L from τ



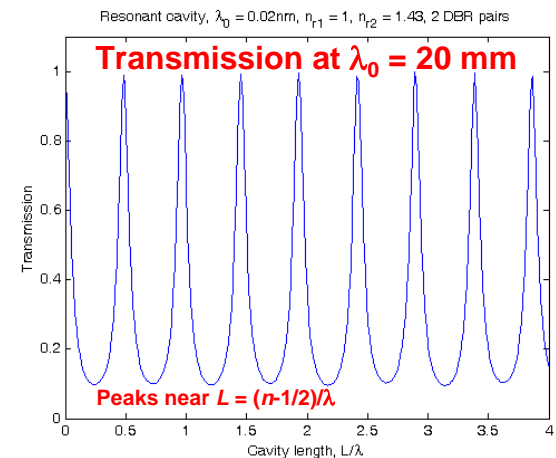
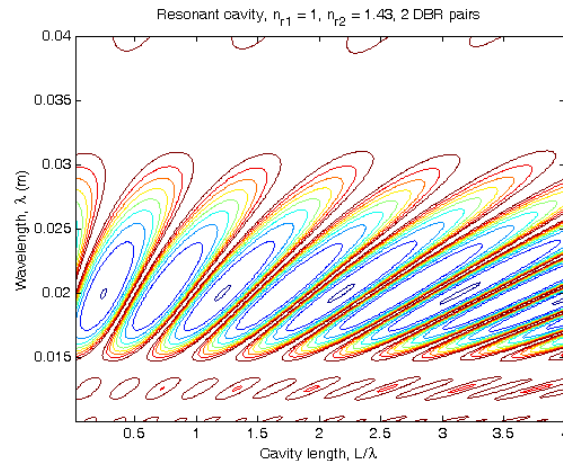
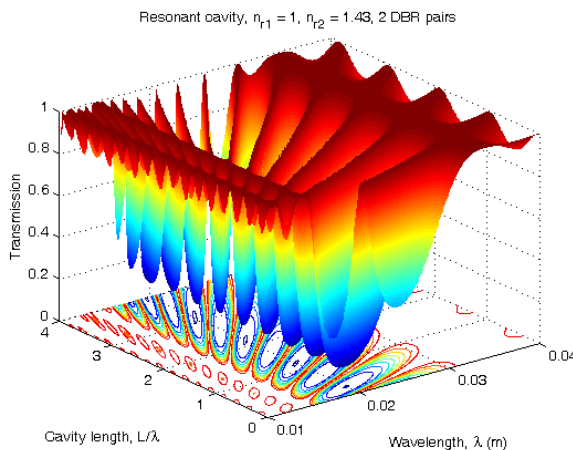
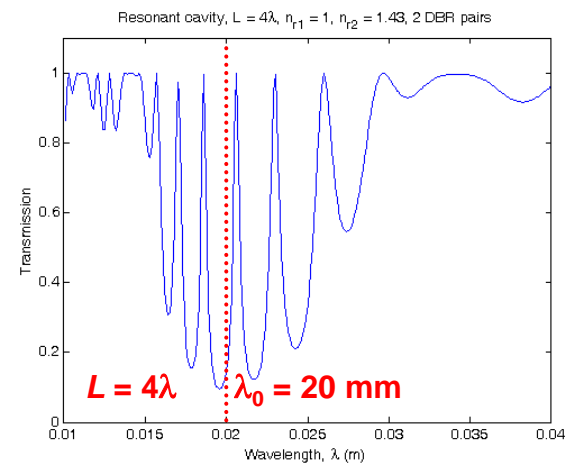
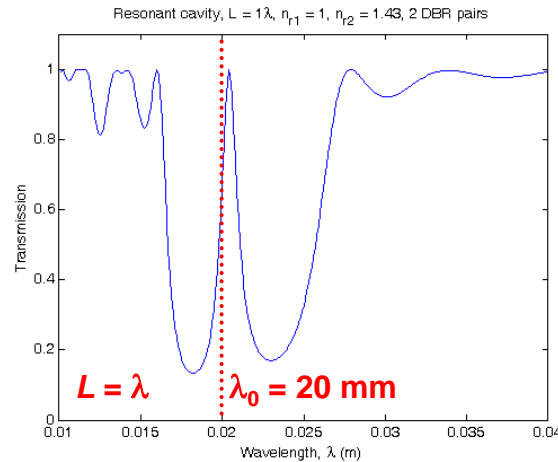
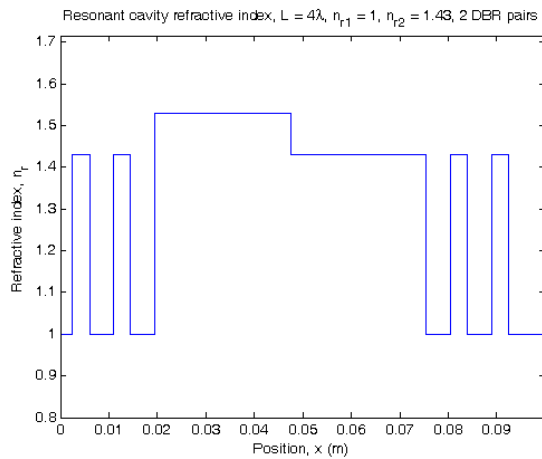
Optimal design using negative refractive index as a new degree of freedom

- Zero phase accumulation sensitivity to parameter variation
- Example: 15 GHz ($\lambda_0 = 20$ mm) electromagnetic resonator
 - 2 DBR pairs, 7% mismatch in negative and positive cavity index $n_{c1} = -1.53$, $n_{c2} = 1.43$
 - Stepped peak transmission walk-off



Optimal design using negative refractive index as a new degree of freedom

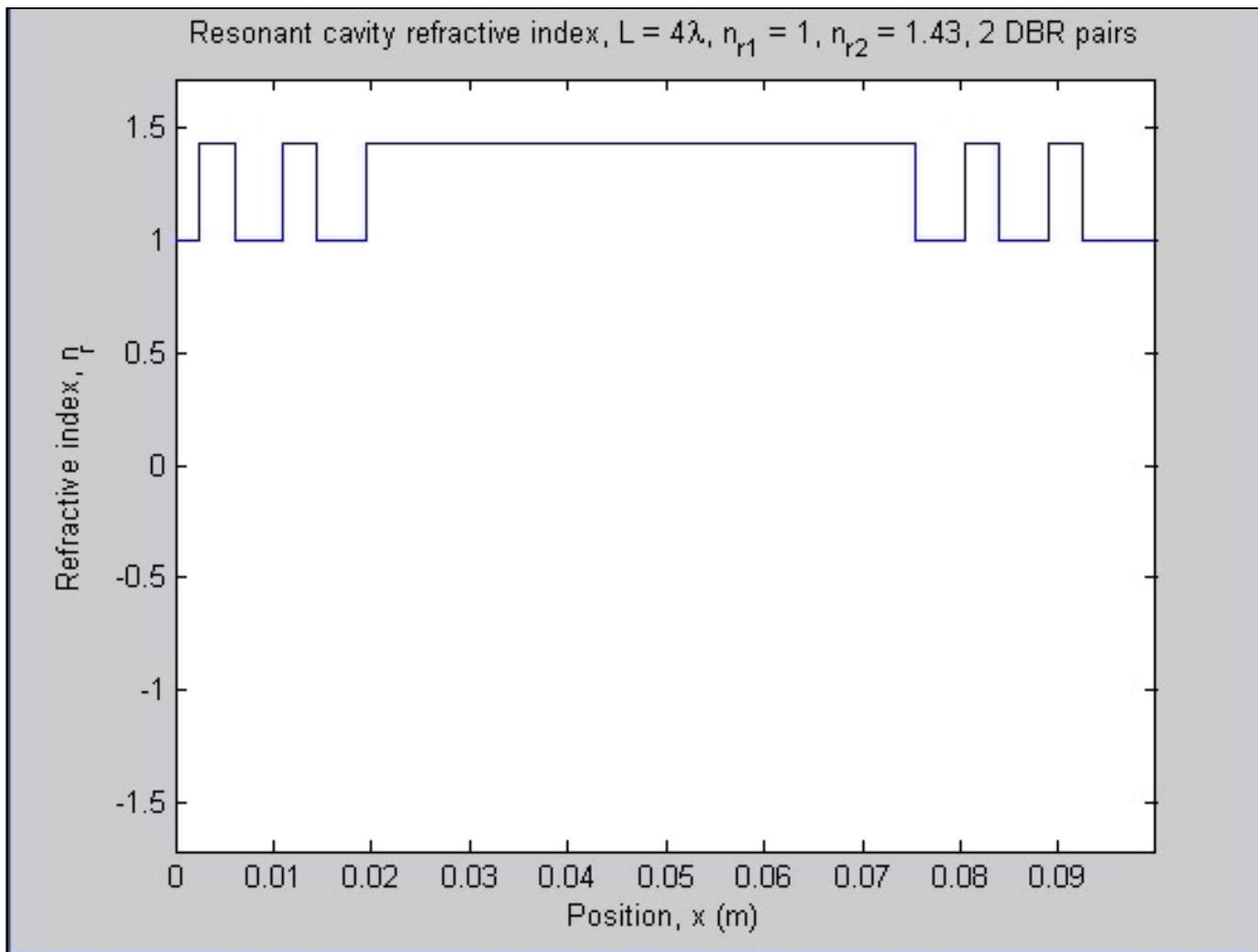
- Conventional phase accumulation sensitivity to parameter variation
- Example: 15 GHz ($\lambda_0 = 20$ mm) electromagnetic resonator
 - 2 DBR pairs, mismatch in positive cavity index $n_{c1} = 1.53$, $n_{c2} = 1.43$
 - Poorly behaved with *oscillations* in peak transmission



Optimal design using negative refractive index as a new degree of freedom

- Solution space as function of fraction of negative index material in cavity
- Example: 15 GHz ($\lambda_0 = 20$ mm) electromagnetic resonator
 - 2 DBR pairs, $n_{c1} = 1.43$, $n_{c2} = -1.43$

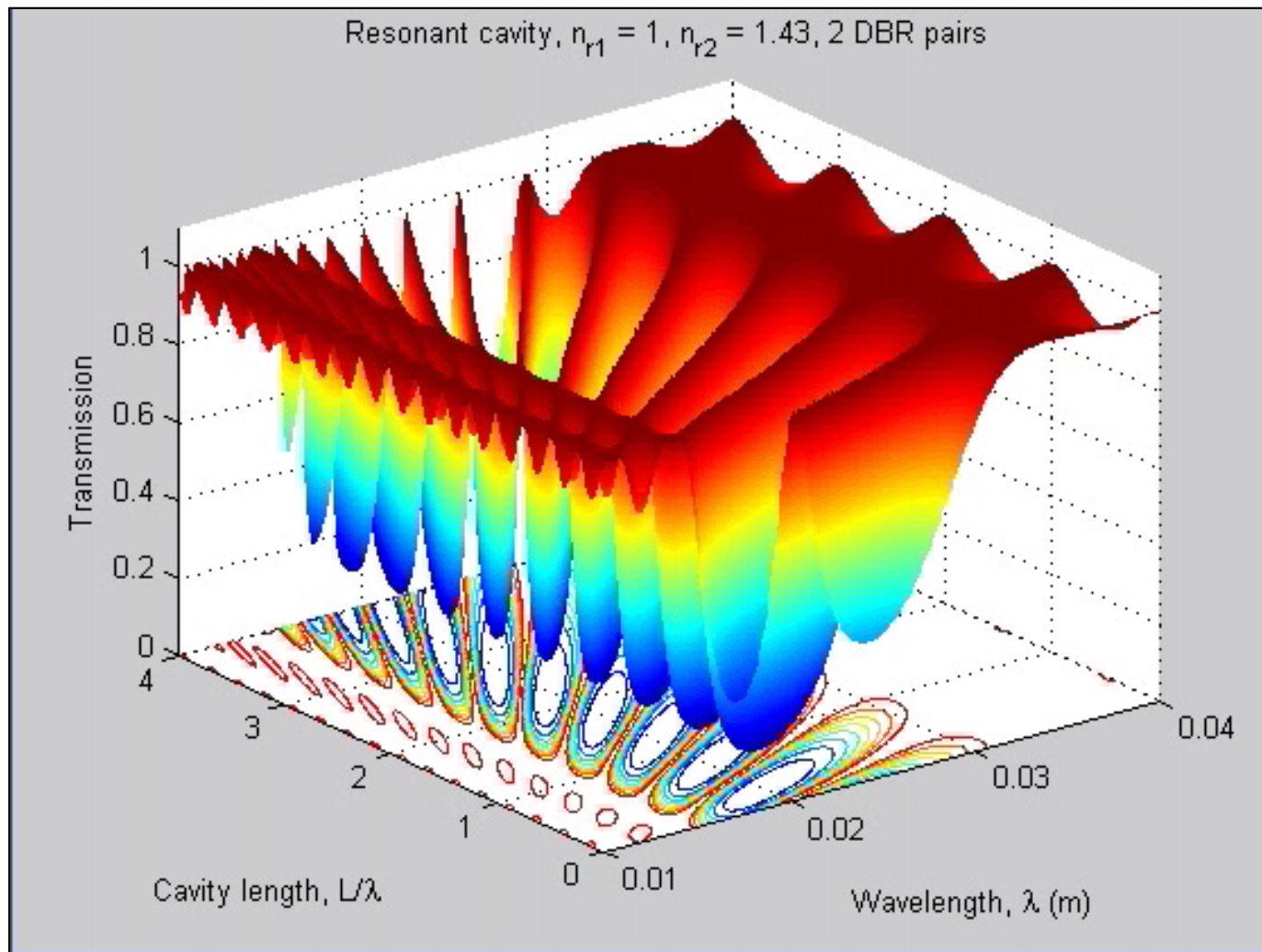
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Optimal design using negative refractive index as a new degree of freedom

- Solution space as function of fraction of negative index material in cavity
- Example: 15 GHz ($\lambda_0 = 20$ mm) electromagnetic resonator
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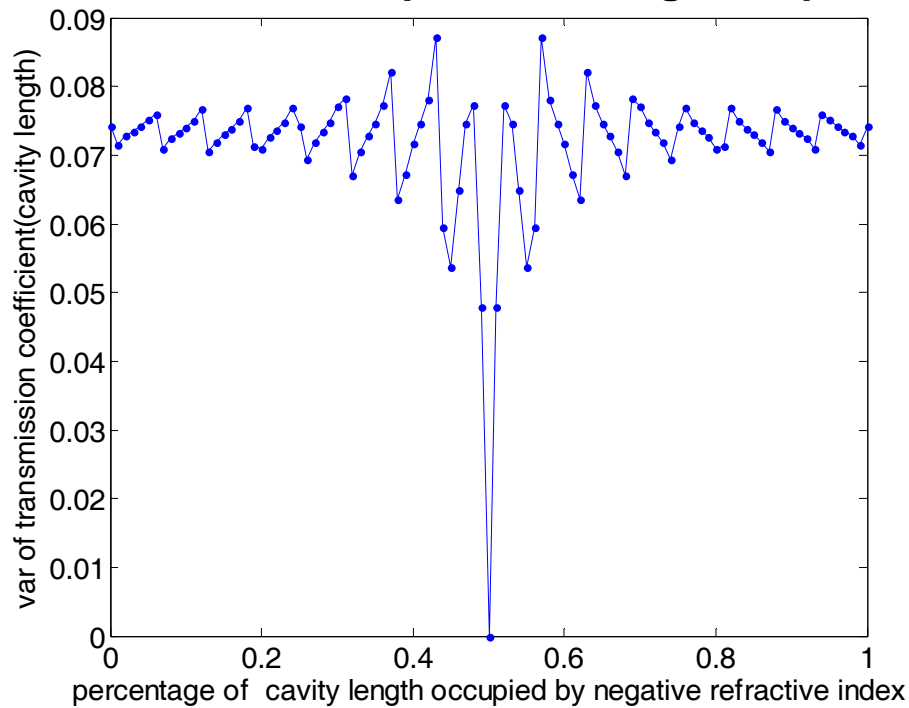
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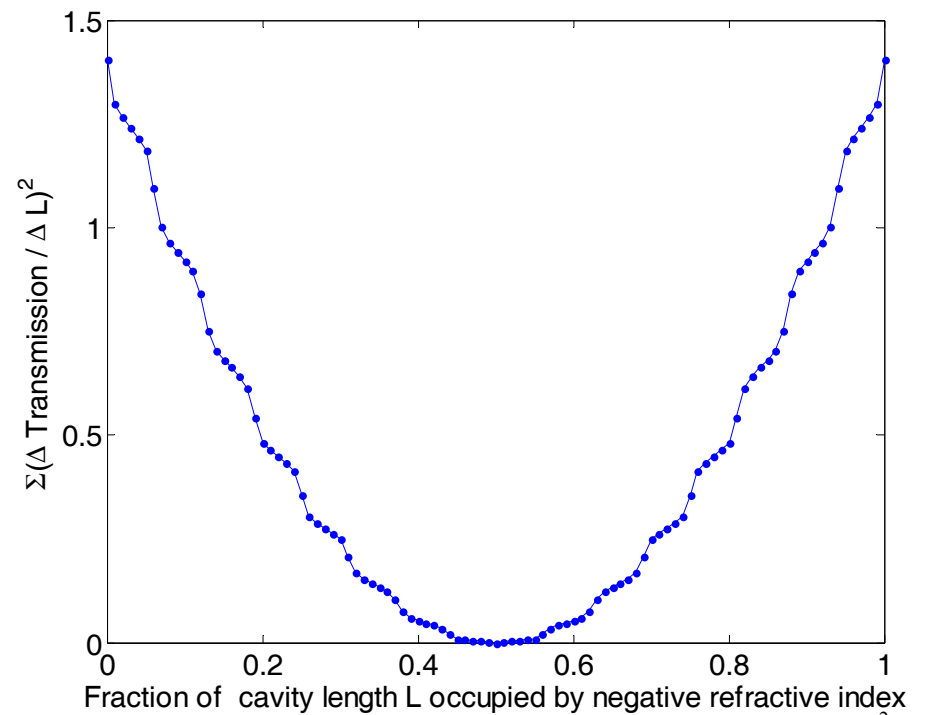
Optimization of 1D Bragg resonator with negative index material as a new degree of freedom

➤ *Symmetric Optimization*

- Refractive index in resonator cavity is +/- 1.43
- Optimum at 0.5 fraction negative refractive index material in cavity of length L
- Search space highly dependent on cost functional
- Global measures strongly influenced by sample space, highly irregular
- Sum of local measures more robust
- 1D search space at a single frequency λ_0



$$\text{cost1} = \sum_{n=1}^N \left(\text{Transmission} \left(\frac{L_i}{\lambda_0} \right) - \frac{1}{N} \sum_{n=1}^N \text{Transmission} \left(\frac{L_i}{\lambda_0} \right) \right)^2$$

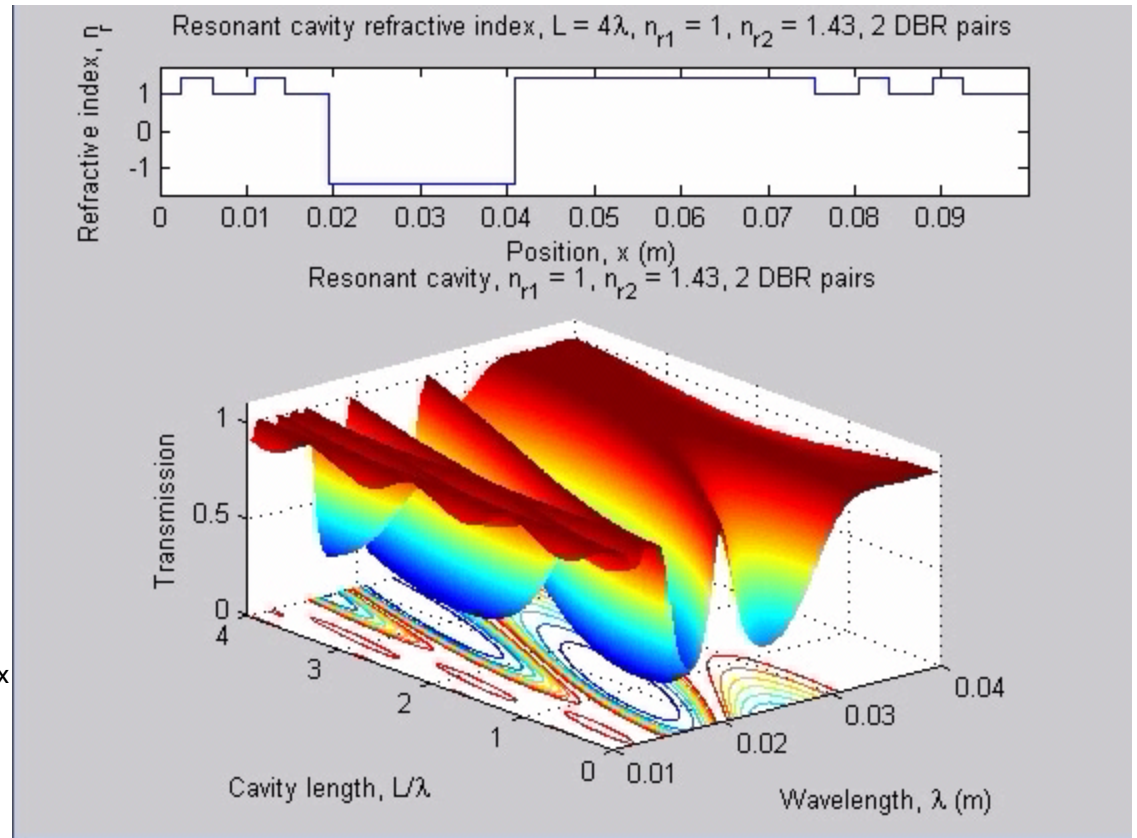
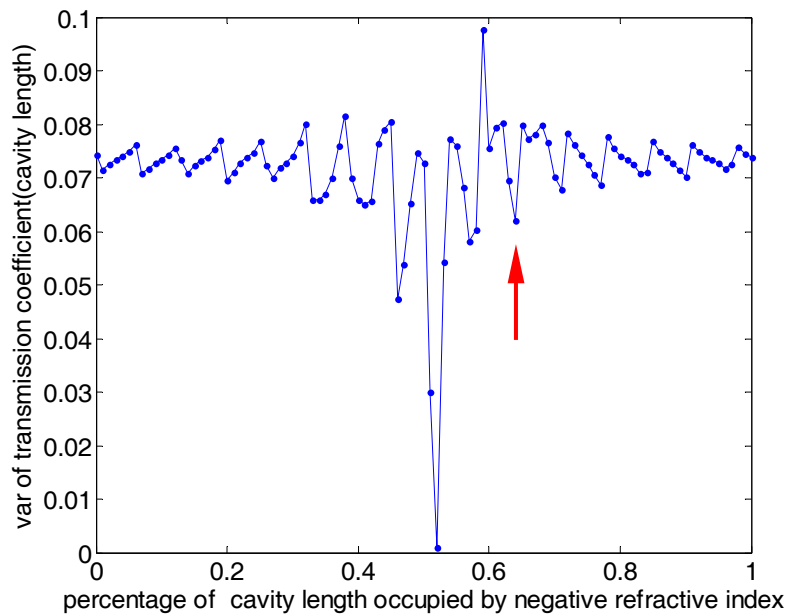


$$\text{cost2} = \sum_{n=1}^N \left(\text{Transmission} \left(\frac{L_{i+1}}{\lambda_0} \right) - \text{Transmission} \left(\frac{L_i}{\lambda_0} \right) \right)^2 \quad 9$$

Optimization of 1D Bragg resonator frustrated by choice of cost function

➤ *Asymmetric Optimization*

- Refractive index in resonator cavity is +1.43 and -1.33
 - Optimum not at 0.5 fraction negative refractive index material in resonance cavity
- Optimization frustrated by choice of cost function
- Optimization routine: Matlab's fminbnd

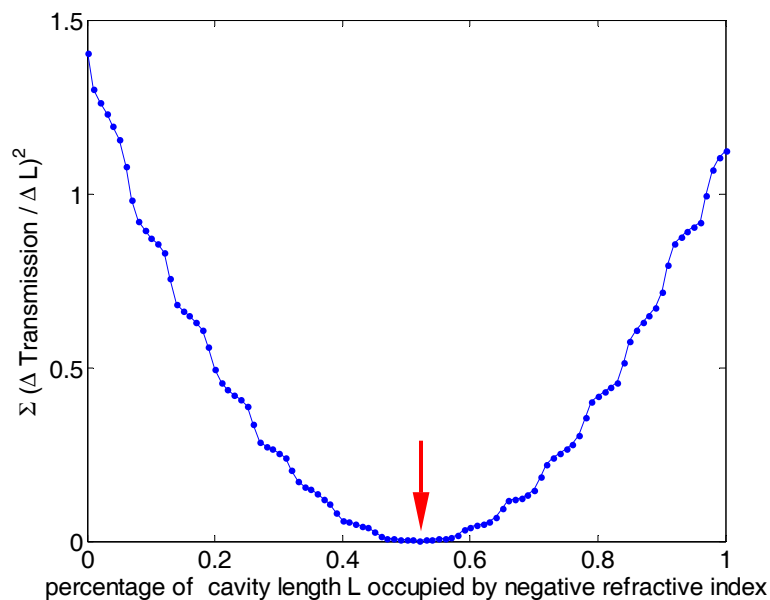


$$\text{cost1} = \sum_{n=1}^N \left(\text{Transmission} \left(\frac{L_i}{\lambda_0} \right) - \frac{1}{N} \sum_{n=1}^N \text{Transmission} \left(\frac{L_i}{\lambda_0} \right) \right)^2$$

Optimization of 1D Bragg resonator due to correct choice of cost function

➤ *Asymmetric Optimization*

- Refractive index in resonator cavity is +1.43 and -1.33
- Appropriate choice of cost function produces an almost parabolic search space
- Optimization routine: Matlab's fminbnd



$$\text{cost2} = \sum_{n=1}^N \left(\text{Transmission} \left(\frac{L_{i+1}}{\lambda_0} \right) - \text{Transmission} \left(\frac{L_i}{\lambda_0} \right) \right)^2$$

